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## **2024 Transformation** Notice of Intent to Apply

Applicant Webinar

August 2023



Government Gouvernement





## **Webinar Contents**

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## **Competition Timeline**

### 2024 Transformation









**Competition Timeline** 

## **Competition stages**

### **Three-stage competition**

- 1. Notice of Intent to Apply (NOI)
- 2. Letter of Intent to Apply (LOI)
- 3. Full Application





Competition Timeline
Important dates

October 24, 2023	Notice of Intent deadline			
January 10, 2024	Letter of Intent deadline			
September 5, 2024	Full application deadline (by invitation)			
February 2024	Results announced			
March 2025	Awards begin			



## **Funding Opportunity Description** 2024 Transformation









Funding Opportunity Description

## **NFRF Administration**

- The Canada Research Coordinating Committee (CRCC) designed the NFRF following a national consultation, and is the Steering Committee for the NFRF
- NFRF is a tri-agency program, administered by the Tri-agency Institutional Programs Secretariat (TIPS)
- TIPS is housed within the Social Sciences and Humanities Research Council (SSHRC)





### Overview of the New Frontiers in Research Fund NFRF Streams

olorat	llon

Generates opportunities for Canada to build strength in highrisk, high-reward and interdisciplinary research Provides large-scale support for Canada to build strength and leadership in interdisciplinary and transformative research

Transformation

## International

Enhances opportunities for Canadian researchers to participate in research with international partners

#### **Special Calls**

Supports research that **targets emerging areas** and aligns with the fund's overall objectives



#### Funding Opportunity Description

## **2024 Transformation**

#### Goal

To support large-scale, Canadian-led interdisciplinary research projects that address a major challenge, and have the potential to realize **real and lasting change** 



The challenge the research addresses may be:

- fundamental, leading to a scientific breakthrough; or
- applied, with a social, economic, environmental or health impact

Projects are expected to be world-leading, and to draw on **global** research expertise when relevant



# Funding Opportunity Description **2024 Transformation**

Transformation grants will support projects that:

- 1. tackle a **well-defined** problem or challenge;
- 2. propose a **novel world-leading** approach;
- 3. are interdisciplinary; and
- 4. have the potential to be transformative

Transformative research is defined as having the potential to **create a significant and real change or impact**—a noticeable leap or tangible breakthrough rather than an incremental advance





Funding Opportunity Description

## **2024 Transformation**

Transformation grants support **clearly defined research projects**.

Transformation grants do **not** support programs of research, networks, or the setting up or further development of research institutes/centres.





Funding Opportunity Description

## Value and duration

### Value

Between **\$2M and \$4M per year**, including indirect costs

Applicants may request up to 25% of the value of the direct costs of research to cover indirect costs

Budget must be in line with the objectives of the project

Funds should be used to support research activities, not to acquire or maintain infrastructure

### Duration

#### Six years

Mid-term review is mandatory

Number of awards depends on the amounts requested in successful applications (expect a minimum of six awards)



### 2024 Transformation









## **2024 Transformation**

## The five selection criteria for NFRF funding opportunities are:

- 1. Interdisciplinarity
- 2. EDI in research practice
- 3. High risk
- 4. High reward
- 5. Feasibility

Note that in the Transformation stream,

all criteria are assessed at the LOI and full application stages and the weighting of criteria changes between stages



## Interdisciplinarity

Projects must **integrate different disciplinary approaches** to bring a novel perspective to address the research challenge.

Proposals must explain how the disciplinary perspectives, methodologies, and techniques will be integrated and must demonstrate that the team has the required expertise to execute the interdisciplinary approach.

The proposal must also explain **why an interdisciplinary approach is required** and/or the **added value of this approach** to the research problem. Multidisciplinary research = juxtaposing, coordinating

Interdisciplinary research = integrating, synthesizing



# Evaluation Criteria Disciplinarities: multi, inter, and trans

- **Multidisciplinary**: people from different disciplines working together, each drawing on their disciplinary knowledge
- Interdisciplinary: integrating knowledge and methods from different disciplines, using a real synthesis of approaches
- Transdisciplinary: creating a unity of intellectual frameworks beyond the disciplinary perspectives





## **Multidisciplinary versus Interdisciplinary Teams**

#### **Multidisciplinary Teams**

Each member operates as a part of a chain of responsibility



#### **Interdisciplinary Teams**

Individuals from different fields are expected to contribute ideas to the entire project





# Equity, diversity and inclusion in research practice

**EDI in research practice** is a core element of the NFRF program. Applicants must clearly demonstrate their commitment to EDI in research practice.

Actions proposed are expected to:

- remove barriers and
- **provide opportunities** for the meaningful integration of individuals from all groups

Refer to the

NFRF Best Practices in Equity, Diversity and Inclusion in Research Practice and Design





## High risk

**High risk**, in the context of the Transformation stream, refers to the **novelty of the proposed approach to the challenge**.

Proposals will have to explain

- why the project is **novel**, as it relates to the latest methods, concepts, information, and techniques;
- how the approach builds on and benefits from expertise and resources across Canada and internationally;
- what makes the approach world-leading; and
- why the approach is expected to lead to real change.





Evaluation Criteria
High reward

**High reward** is defined as the potential to create a **significant and real change or impact**—to be transformative.

Applications must explain the anticipated change or impact that is likely to result and its significance.

Proposals must also outline the **major short-, medium**and long-term changes that are expected, the likelihood of their achievement, and who (or what) will be affected by the changes.





**Feasibility** covers the plan and the ability to execute the activities. It considers elements such as the:

- problem or challenge being addressed;
- proposed approach, including EDI in research design;
- knowledge, expertise and capacity of the team;
- workplan and timeline;

- engagement and collaboration with First Nations, Inuit and Métis peoples (for Indigenous research) when appropriate;
- suitability of the research environment (i.e., access to required infrastructure); and
- management plans

For more information on the criteria refer to the <u>evaluation matrices</u>





## **Eligibility and Roles** 2024 Transformation









## Subject matter (fit to program)

Projects may involve **any disciplines**, **thematic areas**, **approaches or subject areas** eligible for funding across the three federal research funding agencies (CIHR, NSERC, and SSHRC).

Projects should engage the **full range of disciplines** required to achieve the project goals.

To meet the minimum requirement to be considered interdisciplinary, the proposed research project must include elements from at least two different disciplines (as defined by a group-level classification based on the Canadian Research and Development Classification codes).

Projects that fall under the mandate of only one federal research funding agency are **not eligible**.





## **Project team**

- Proposals must be submitted by teams of at least five participants (excluding collaborators)
- Teams **must** include a nominated principal investigator (NPI)
- Teams **may** include any number of co-principal investigators (co-PIs), co-applicants and/or collaborators





### Team roles

#### Nominated Principal Investigator (NPI)

- Responsible for the direction of the project and the coordination of proposed research activities
- Completes the NOI, LOI and full application in the <u>Convergence Portal</u> and submits them to their research administrator
- Assumes administrative and financial responsibility for the grant

#### **Co-Principal Investigators (Co-Pls)**

- Share responsibility with the NPI for the direction of the proposed activities
- Research activities related to the project may be supported by grant funds.

#### **Co-Applicants**

- Contribute to the execution of the project
- Research activities related to the project may be supported by grant funds.

#### Collaborators

- Can be any individual who will contribute to the project
- Contribute to the execution of the project
- Grant funds may not be used to pay for collaborators' activities or expenses



Eligibility and Roles
Project team

- The NPI and team members can be from any discipline(s)
- The NPI must be considered an independent researcher at their primary affiliation, which must be a Canadian institution holding full eligibility with CIHR, NSERC or SSHRC
- Other team members may be from any sector; however co-PIs and co-applicants may not be affiliated with a government or for-profit company (this restriction does not apply to collaborators)
- Team members in academia must be considered independent researchers

Full- or part-time students, postdoctoral fellows or research associates are **not eligible** to participate as NPIs, co-PIs or coapplicants.



### **Independent researcher**

#### An independent researcher

- engages in research-related activities that are not under the direction of another individual; and
- has an academic or research appointment that:
  - begins by September 5, 2024 for this competition;
  - allows the individual to pursue the proposed research project, engage in

independent research activities for the entire duration of the funding, supervise trainees (if applicable) and publish the research results; and

 obliges the individual to comply with institutional policies on the conduct of research, supervision of trainees (if applicable) and employment conditions of staff paid with tri-agency funding.



### **Early career researchers**

Early career researchers (ECRs) must be included as confirmed members in all teams.

An ECR is defined as a researcher within **five years** of the start date of their first research-related appointment, minus the length of any eligible delays in research (for example illness, maternity or parental), as of the first of the month of the competition launch (August 2023 for this competition), where:

- "research-related appointments" are defined as those where an individual has the autonomy to conduct research independently;
- all eligible leaves (such as maternity, parental, medical or bereavement) are credited at twice the amount of time taken; and
- **professional leaves** (such as training, sabbatical or administrative) are not credited.



## **Exceptions**

## A federal government employee who is an adjunct professor at a Canadian institution

whose students are participating in the research project may be a co-applicant, with the use of funds limited to salaries or stipends and travel costs for the students.

## A federal government employee who is an adjunct professor at a Canadian institution

whose contributions to the project do not fall within the mandate of their federal organization and will not be performed within the employer's facilities or with the employer's resources may be a co-PI or co-applicant. Individuals whose primary affiliation is with an Indigenous government are eligible to be co-PIs, co-applicants, or collaborators, with eligible expenses limited to those related to the execution of the project that are not within the mandate of the Indigenous government.

## Refer to the <u>Eligibility</u> section of the competition description





## **NOI Requirements** 2024 Transformation









## **Collection of personal information**

Personal information is collected for the **purpose of program performance management.** 

Self-identification data collected as part of the user profile is **not shared** with reviewers and is limited to agency staff on a need to know basis.









### **Notice of intent deadline**

#### **NOI stage**

The NPI must submit an NOI to be eligible to complete and submit an LOI. Selected teams will be invited to complete and submit a full application.

NOI deadline for submission to NFRF:



Check with the research grants office (RGO) at your institution for **internal deadlines**.

The RGO is responsible for submitting your NOI by 8:00 p.m. (ET) on the deadline date.

Late NOIs will not be accepted.

An NOI cannot be changed after the RGO has submitted it.



### **Required information on the Convergence Portal**

## Completed profiles for at least five participants

• NPI and any combination of co-PIs and co-applicants

#### Notice of intent content

- Application title
- Language of the application
- Indigenous research
  - Indicate if the project involves Indigenous research

- Keywords (5 to 15)
- Fields of research (up to 10)
  - Select the fields of research from the Canadian Research and Development Classification (CRDC) codes that relate to the proposed research
- Outline of challenge (max. 3,500 characters)
- Overview of approach and potential changes (max. 3,500 characters)
- Collaborators
- Suggested reviewers (optional for the NOI; min. 20 for the LOI)



## **Required information**

#### **Outline of challenge** (max. 3,500 characters)

Provide a plain-language overview of the challenge(s) or issue(s) to be addressed and the goals or objectives of the project.

## **Overview of approach and potential changes** (max. 3,500 characters)

Briefly describe the approach to be taken and the anticipated changes or impacts that are likely to result from the project.

If your application is successful, the overviews will be used for promotional purposes and to inform the government, the media and members of the public who request information about research funded by the NFRF program.



## **Suggested reviewers**

At the NOI or LOI stage, NPIs are required to provide a list of **at least 20** suggested reviewers who may be contacted to review the proposal at the full application stage as expert panelists.

#### Suggested reviewers should

- have the required expertise to review the proposal in the language in which it was written and comment on it
- not be in a conflict of interest with any member of the team

NPIs are encouraged to suggest a **diverse cross-section** of potential reviewers, including reviewers who are

- Canadian and international
- established and early-career
- women and members of other underrepresented groups
- individuals from academic and non-academic institutions

At least 10 of the suggested reviewers must be from outside Canada.



### **Submitting the Notice of Intent**

Review your NOI to ensure that it is complete.

From the **Finalize Application** section in the **Convergence Portal**, follow the prompts to submit the NOI.

After you accept the terms and conditions, the status of your submission will change to **Received by Administrator.** 

Once your research administrator has approved and submitted your application, the application status will change to **Received by Agency**. **No changes can be made at this point**.





## **Review Process**

2024 Transformation









NOI	LOI			Full Appli	catior	l
Internal review	elp staff ify		Expert Panel	Multidisciplinary/ multisectoral review panel evaluation		Jury review
To help staff			Consensus summary report			Broad assessment
identify reviewers				Focus on feasibility		
	EDI-RD	p/f		EDI-RD	p/f	Applications recommended for funding
	Interdisciplinarity	p/f		Interdisciplinarity	p/f	
	High risk	20%		High risk	25%	
	High reward	60%		High reward	25%	
	Feasibility	20%		Feasibility	50%	
	Top-rated proposals invited			Top-rated proposals invited (max. 12)		



### 2022 Transformation









**RGO role** 

#### RGOs can, in the **Convergence Portal**:

- view NOIs, LOIs, and full applications from NPIs at their institution (including those that are expired, in progress, and submitted)
- **return** submitted NOIs, LOIs, and full applications to the NPI
- **forward** submitted NOIs, LOIs, and full applications to the agency

Before forwarding an application to the agency, **the RGO will be asked to confirm:** 

- that the **participants** meet the eligibility requirements
- that the proposed research project meets the eligibility requirements

RGOs should also **create and submit test applications** with ZZZ-INTERNAL TESTING ENVIRONMENT as the Organization at the NOI stage.



## **RGO role**

By forwarding the application, the RGO will also be certifying on behalf of the institution, that the NPI

- is (or will be) affiliated with the institution or organization
- has the necessary time and resources to carry out the activity
- will email <u>NFRF-FNFR@chairs-chaires.gc.ca</u> of any changes during the tenure of the grant, including changes in the composition of the team, such as the addition of new co-PIs, coapplicants, or collaborators





## **RGO role**

RGOs can use the **Research Administrator** button to access their institution's applications.



If you are an RGO and you don't see the button, please contact websupport@chairs-chaires.gc.ca

Create test applications with ZZZ-INTERNAL TESTING ENVIRONMENT as the Organization.



## **Resources and Questions**

### 2024 Transformation









**Resources and Questions** 

Resources

**Program-related questions:** 

NFRF-FNFR@chairs-chaires.gc.ca

**Convergence Portal help:** 

websupport@convergence.gc.ca

613-995-4273



**Program Links:** 

**NFRF Website** 

EDI Guide

**Competition Overview** 

NOI Guide



**Reviewer Manual** 

**Evaluation Matrices** 

**Frequently Asked Questions** 



# Resources and Questions Video capsule



A video capsule demonstrating how to complete and submit an NOI, and the RGO role, will be made available to RGOs for distribution at their institutions.



#### **Resources and Questions**

## **Questions?**

